MAR 0 5 2002

COPY OF PAPERS ORIGINALLY FILED

Attorney Docket No. <u>362-39 RCE</u>

In re Application of shashim Sameshima

Serial No.: 09 451,979

Confirmation No.: Unassigned Filed: November 30, 1999

For: FERROELECTRIC MEMORY AND METHOD FOR

MANUFACTURING SAME

COMMISSIONER FOR PATENTS

Washington, DC 20231

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:

Commissioner for Patents, Washington, D.C.

20231 on February 19, 2002

Dated: 2/19/02

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Sir:

Transmitted herewith is an Amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 5	MINUS	** 20	= 0
INDEP.	* 5	MINUS	*** 3	= 2

SMALL ENTITY				R THAN A L ENTITY	
RATE	ADDL. FEE	OR	RATE	ADDL. FEE	
:	1				
x 9=	S		x 18=	\$	
x 40=	\$	OR	x 84=	\$	
x 135=	\$		x 270=	, s	
TOTAL	\$ 0.00		TOTAL	\$ 168.00	

FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

Please charge my Deposit Account No. 08-2461 in the amount of \$_____. A duplicate copy of this sheet is attached.

A check in the amount of \$168.00 is attached.

The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No 08-2461. A duplicate copy of this sheet is attached.

Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, NY 11791 (516) 822-3550

racit 1.

Rod S. Turner

Registration No. 38,639



TATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Katsumi Sameshima

Examiner:

Wai-Sing Louie

Serial No.:

09/451,979

Group Art Unit:

2814

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. morphic Consideration to a function with

20231 on February 19, 2002

Dated: 2/19/02 -

REPLY TO FINAL OFFICE ACTION

Sir:

In response to the final Office Action mailed October 19, 2001, a reply to which is due February 19, 2002 with a Petition for Extension of Time submitted herewith, please amend the following above-identified application as follows:

IN THE CLAIMS:

Please amend Claims 1 -5 by rewriting the same as follows:

(Twice Amended) 'A ferroelectric memory, comprising: an insulation film having a concave portion at a top surface; and

a laminated body obtained by laminating a plurality of layers on said top surface and etching a region of said plurality of layers corresponding to a region other than said concave portion, wherein said laminated body includes a lower electrode layer which is brought into

a portion of said lower electrode tayer protrudes outward from an inner peripheral edge

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